



7217/65200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Yoichiro Sako et al.  
Serial No. : 09/940,710  
Filed : August 28, 2001  
For : DATA OUTPUT METHOD AND APPARATUS, DATA PLAYBACK METHOD AND APPARATUS, DATA RECORDING METHOD AND APPARATUS, AND DATA RECORDING AND PLAYBACK METHOD AND APPARATUS  
Group A.U. : 3713  
Date : October 28, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

No fee is required.  
 The fee has been calculated as shown below.  
 Total claims in excess of \_\_\_\_ previously paid for, at \$18 (\$9) \_\_\_\_.  
 Independent claims in excess of \_\_\_\_ previously paid for, at \$84 (\$42) \_\_\_\_.  
 Additional Fee for this Amendment \_\_\_\_.  
 This response is being filed with the \_\_\_\_ first month, \_\_\_\_ second month, \_\_\_\_ third month, \_\_\_\_ forth month, \_\_\_\_ fifth month following the expiration of the term originally set therefor.  
 Applicants Petition for an extension, and the fee of \_\_\_\_ \$110 (\$55), \_\_\_\_ \$400 (\$200), \_\_\_\_ \$920 (\$460), \_\_\_\_ \$1,440 (\$720), \_\_\_\_ \$1,960 (\$980) is due and paid herewith.  
 The fee of \$ \_\_\_\_ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid herewith.  
 A check in the amount of \$ \_\_\_\_ is attached.  
 Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

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3713  
#4/A11-13-03  
JW

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PLAYBACK METHOD AND APPARATUS, DATA  
RECORDING METHOD AND APPARATUS, AND DATA  
RECORDING AND PLAYBACK METHOD AND APPARATUS  
Group A.U. : 3713

I hereby certify that this paper is being deposited  
this date with the U.S. Postal Service as first class  
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Oct. 28, 2003

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October 28, 2003  
1185 Avenue of the Americas  
New York, NY 10036

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT

Sir:

Prior to the initial examination of the above-identified application, Applicants respectfully request that the application be amended as follows:

**Amendments to the Abstract of the disclosure** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 32 of this paper.